





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED

APPLICANT:

Stöver et al

CONFIRMATION NO.: 8580

**SERIAL NO.:** 

10/074,927

GROUP ART UNIT: 2164

FILED:

February 12, 2002

INVENTION:

"COMPUTER SYSTEM AND METHOD FOR SECURING

MARKET PROFITS OF FINANCIAL INSTRUMENT

**INVESTMENTS**"

#### **BOX MISSING PARTS**

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

In response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) - Filing Date Granted dated March 12, 2002, applicants are attaching a copy of the application, as filed February 12, 2002, with a Declaration attached thereto, which Declaration was signed by the inventors, Burghard Stöver and Wilhelm Berghorn, on March 28, 2002.

Attached herewith is a check for the \$130.00 government surcharge. and it is submitted that with the submission of this surcharge and the signed Declaration, the application papers are now complete and it is requested that the application be sent to the Examining Group.

Respectfully submitted,

(Reg. No. 45,877)

Mark Bergner

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Attorneys for Appellant

**CUSTOMER NUMBER 26574** 





## **CERTIFICATE OF MAILING**

I hereby certify that an original and two copies of this correspondence are being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on May 13, 2002.

Mark Bergner - Attorney for Applicants



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.USPTO.GOV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/074,927

02/12/2002

**Burghard Stover** 

P02,0056

26574 SCHIFF HARDIN & WAITE 6600 SEARS TOWER 233 S WACKER DR CHICAGO, IL 60606-6473 CONFIRMATION NO. 8580
FORMALITIES LETTER
OC000000007621240\*

Date Mailed: 03/12/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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